

46-  
66-

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**

### DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled FIBER OPTIC LASER TRANSMITTER WITH REDUCED NEAR END REFLECTIONS, the specification of which

(check one) XX is attached hereto.

was filed on \_\_\_\_\_ as  
Application Serial No. \_\_\_\_\_  
and was amended on \_\_\_\_\_  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed

(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
----------	-----------	------------------------	-----	----

(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
----------	-----------	------------------------	-----	----

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulation, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
--------------------------	---------------	--

(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)

(Application Serial No.)	(Filing Date)	(Status)
		patented, pending, abandoned

Direct all telephone calls to Gary S. Williams at (650) 493-4935.

Address all correspondence to:

PENNIE & EDMONDS LLP  
3300 Hillview Avenue  
Palo Alto, California 94304

File No. 9775-0031-999

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, §1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or  
first inventor:

LEWIS B. ARONSON

Inventor's signature:

*Lew B Aronson*

Date:

3/7/00

Residence:

LOS ALTOS, CALIFORNIA

Citizenship:

U.S.A.

Post Office Address:

794 MANOR WAY

LOS ALTOS, CALIFORNIA 94024

Full name of  
second inventor:

DANIEL S. KANE

Inventor's signature:

*Daniel S. Kane*

Date:

3/7/00

Residence:

SAN FRANCISCO, CALIFORNIA

Citizenship:

U.S.A.

Post Office Address:

625 GREENWICH STREET #1

SAN FRANCISCO, CALIFORNIA 94133

Applicant or Patentee: Lewi Aronson Attorney: Docket No.: 9775-00  
 Serial or Patent No.: \_\_\_\_\_  
 Filed or Issued: \_\_\_\_\_  
 For: FIBER OPTIC LASER TRANSMITTER WITH REDUCED NEAR END REFLECTIONS

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
 STATUS (37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: FINISAR CORPORATION  
 ADDRESS OF CONCERN: 1308 MOFFETT PARK DRIVE, SUNNYVALE, CA 94089-1131

I hereby declare that the above identified small business concern qualifies as a small business concern under 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the Trademark Office under Section 41(a) and (b) of Title 35, United States Code, in that the number of persons employed by the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, the number of employees of the business concern is the average over the previous fiscal year of the concern, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls, has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled FIBER OPTIC LASER TRANSMITTER WITH REDUCED NEAR END REFLECTIONS by inventor(s) Lewis B. Aronson and Daniel S. K...

- ☒ the specification filed herewith  
☐ application serial no. \_\_\_\_\_, filed \_\_\_\_\_  
☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_

If the rights held by the above identified small business concern are not exclusive, each individual, organization having rights to the invention is listed below\* and no rights to the invention are held by any other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). \*NOTE: Separate verified statements are required from each named person, organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status and entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee, the maintenance fee due after the date on which status as a small entity is no longer appropriate.

I hereby declare that all statements made herein of my own knowledge are true and that all statements of information and belief are believed to be true; and further that these statements were not made with any willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18 of the United States Code, and that such willful false statements may jeopardize the granting of this application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Jerry K...  
 TITLE IN ORGANIZATION Chief Executive Officer  
 ADDRESS OF PERSON SIGNING 1308 Moffett Park Drive, Sunnyvale, CA 94089-1131

SIGNATURE Jerry K... DATE March 7, 2000


**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**

 Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
 Washington, D.C. 20231

**MONTHLY STATEMENT  
OF DEPOSIT ACCOUNT**

To replenish your Deposit Account, detach and return top portion with your check. Make check payable to Commissioner of Patents &amp; Trademarks.

**PENNIE & EDMONDS LLP**
**FINA**
**1155 AVENUE OF THE AMERICAS**
**NEW YORK NY 10036**
**PLEASE SEND TO:**  
**Patent and Trademark Office**  
**P.O. Box 1000**  
**Chicago, IL 60601**

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES CREDIT
Q.	DAY	YR.					
3	9	00	19	017227		580C	
3	9	00	20	019281		580C	
3	9	00	21	017473		580C	
3	9	00	31	09516196	10199-004	101	
3	9	00	32	09516196	10199-004	103	
3	9	00	35	60186291	2499-215-888	114	
3	9	00	41	09515965	7872-073	101	
3	9	00	41	60185655	10199-007-88	114	
3	9	00	44	09332286	6923-083-999	116	
3	9	00	44	08902167	20411-702CON	119	
3	9	00	45	09332286	6923-083-999	103	
3	9	00	45	08902167	20411-702CON	116	
3	9	00	46	09235806	174-739-185	567	
3	9	00	46	08902167		219	
3	9	00	46	09332286	6923-083-999	102	
3	9	00	47	09235806	174-739-185	585	
3	9	00	47	09332286	6923-083-999	126	
3	9	00	47	08902167		216	
3	9	00	48	60186207	10049-009-88	114	
3	9	00	61	09516011	8236-019-999	101	
3	9	00	62	09516011	8236-019-999	103	
3	9	00	63	09516011	8236-019-999	102	
3	9	00	85	08862740	MIT7442	116	
3	9	00	94	75932939	9637-020-999	361	
3	9	00	100	09515925	10076-004	201	
3	9	00	101	09515925	10076-004	203	
3	9	00	104	08783774	7682-037	242	
3	9	00	105	08783774	7682-037	561	
3	9	00	145	09497957	8907-087-999	581	
3	9	00	145	09375140	9341-017-999	105	
3	9	00	147	09350841	7872-066	128	
3	9	00	148	09350841	7872-066	105	
3	9	00	149	09035617	7761-009	216	
3	9	00	150	09136009	7420-060	115	
3	9	00	151	09136009	7420-060	103	
3	9	00	152	09136009	7420-060	126	

 IN AMOUNT SUFFICIENT TO  
 OVER ALL SERVICES REQUESTED

OPENING BALANCE

TOTAL CHARGES

TOTAL C



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

## MONTHLY STATEMENT OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and return top portion with your check. Make check payable to Commissioner of Patents & Trademarks.

PENNIE & EDMONDS LLP

FINA

1155 AVENUE OF THE AMERICAS

NEW YORK NY 10036

PLEASE SEND TO:  
Patent and Trademark Office  
P.O. Box 7  
Chicago, IL 60601

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES CREDITS
Q.	DAY	YR.					
3	8	00	23	60121903	2804 (CHM/NYO	567	
3	8	00	28	08837759	8872-003	202	
3	8	00	28	PAYMENT		701	-1250
3	8	00	29	08837759	8872-003	203	
3	8	00	35	75260394		364	
3	8	00	41	08656363	7624006	142	
3	8	00	42	08656363	7624006	561	
3	8	00	62	75435560	6202-660-999	364	
3	8	00	63	75435559	6202-661-999	364	
3	8	00	77	09514536	9803-0094-99	101	
3	8	00	78	09514536	9803-0094-99	102	
3	8	00	113	08669358	8934-003	231	
3	8	00	114	08669358	8934-003	203	
3	8	00	118	60121902	9478-007-502	567	
3	8	00	122	09514336	8512-387	101	
3	8	00	156	60185376	9392-051-888	114	
3	9	00	2	09515407	4821-338	201	
3	9	00	3	09514271	1782-014-999	201	
3	9	00	3	09515407	4821-338	202	
3	9	00	3	1585204		365	
3	9	00	4	09514271	1782-014-999	203	
3	9	00	4	1585204		372	
3	9	00	5	09514272	7565-084	101	
3	9	00	5	08986185	8265-157	122	
3	9	00	6	09514272	7565-084	102	
3	9	00	7	60185832	3147-017-888	114	
3	9	00	12	09515390	4821-374	101	
3	9	00	13	09515390	4821-374	102	
3	9	00	14	09515390	4821-374	103	
3	9	00	16	018078		580C	
3	9	00	16	09516018	10053-38-999	201	
3	9	00	16	5552472		183	
3	9	00	17	017025		580C	
3	9	00	17	09516018	10053-38-999	203	
3	9	00	18	026706		580C	
3	9	00	18	09516018	10053-38-999	202	

IN AMOUNT SUFFICIENT TO  
COVER ALL SERVICES REQUESTED

OPENING BALANCE

TOTAL CHARGES

TOTAL CREDITS



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Administration

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20503

# MONTHLY STATEMENT OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and return top portion with your check. Make check payable to Commissioner of Patents & Trademarks.

PENNIE & EDMONDS LLP

FINA

1155 AVENUE OF THE AMERICAS

NEW YORK NY 10036

PLEASE  
Pay to  
Payee  
Chicago

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGE
Q.	DAY	YR.					
3	13	00	113	29102960	7310-218	561	
3	13	00	116	09104774	318-245	142	
3	13	00	116	MOSCOSO A		636	
3	13	00	116	09517304	9767-004-999	101	
3	13	00	117	09104774	318-245	561	
3	13	00	117	09517304	9767-004-999	102	
3	13	00	118	09517304	9767-004-999	103	
3	13	00	134	08687543	2988-573	131	
3	13	00	135	08687543	2988-573	103	
3	13	00	151	09011315		131	
3	13	00	152	09011315		115	
3	13	00	153	60187026	10362-005-88	114	
3	13	00	154	PCT/US00/05707	8757-010-228	150	
3	13	00	155	PCT/US00/05707	8757-010-228	153	
3	13	00	156	PCT/US00/05707	8757-010-228	800	
3	13	00	157	PCT/US00/05707	8757-010-228	801	
3	13	00	158	PCT/US00/05707	8757-010-228	899	
3	13	00	159	PCT/US00/05707	8757-010-228	566	
3	13	00	160	09011316	2988-621	131	
3	13	00	161	09011316	2988-621	116	
3	13	00	162	08587773	9424-008	117	
3	13	00	163	08587773	9424-008	131	
3	13	00	164	08587773	9424-008	102	
3	13	00	165	08587773	9424-008	103	
3	13	00	166	09000789	863-0090-999	115	
3	13	00	167	09000789	863-0090-999	131	
3	13	00	168	09011317		131	
3	13	00	169	09011317		116	
3	13	00	172	08875330	7426-0046	119	
3	13	00	189	08765994	9136-003	217	
3	13	00	190	08765994	9136-003	219	
3	13	00	193	09447862	270-033	581	
3	13	00	259	09192933	6670-086-999	115	
3	13	00	274	09220605	861-232	142	
3	13	00	436	PCT/US00/05875	5914-066-228	150	
3	13	00	437	PCT/US00/05875	5914-066-228	153	

AMOUNT SUFFICIENT TO  
COVER ALL SERVICES REQUESTED

OPENING BALANCE

TOTAL CHARGES

TOTAL



UNITED STATES PATENT  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS  
Washington, D.C. 20501

# MONTHLY STATEMENT OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and  
return top portion with your check. Make check  
payable to Commissioner of Patents & Trademarks.

PENNIE & EDMONDS LLP

FINA

1155 AVENUE OF THE AMERICAS

NEW YORK NY 10036

PLEASE  
Patent  
P.O. Box  
Chicago

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGE CODE
Q.	DAY	YR.					
3	10	00	326	08893153	9182-004	242	
3	10	00	327	08893153	9182-004	561	
3	10	00	374	PCT/US00/05790	10312-003-22	150	
3	10	00	375	PCT/US00/05790	10312-003-22	151	
3	10	00	376	PCT/US00/05790	10312-003-22	800	
3	10	00	377	PCT/US00/05790	10312-003-22	801	
3	10	00	378	PCT/US00/05790	10312-003-22	899	
3	10	00	379	PCT/US00/05790	10312-003-22	566	
3	13	00	3	08648065	7116-050	581	
3	13	00	7	1825945	007951-0042	481	
3	13	00	8	1825945	007951-0042	482	
3	13	00	10	09517804	9804-015-999	101	
3	13	00	11	PCT/US98/20737	7969-076-228	192	
3	13	00	13	018967		580C	
3	13	00	14	018079		580C	
3	13	00	15	025726		580C	
3	13	00	15	JAMES HADDOW		550	
3	13	00	16	017473		580C	
3	13	00	17	017026		580C	
3	13	00	18	018077		580C	
3	13	00	19	031530		580C	
3	13	00	20	09495722	10244-003	581	
3	13	00	24	09496353	174-757	581	
3	13	00	28	09517386	7565-085	101	
3	13	00	35	09517833	9862-0012	101	
3	13	00	44	025710		580C	
3	13	00	53	BIRTWISTLE FERN		550	
3	13	00	54	BIRTWISTLE FERN		550	
3	13	00	55	BIRTWISTLE FERN		550	
3	13	00	60	09497123	8932-114	581	
3	13	00	92	07271450	2191	575	
3	13	00	93	75936008		361	
3	13	00	94	75936009		361	
3	13	00	95	75936010		361	
3	13	00	96	75936011		361	
3	13	00	112	29102960	7310-218	143	

AN AMOUNT SUFFICIENT TO  
OVER ALL SERVICES REQUESTED

OPENING BALANCE

TOTAL CHARGES

TOTAL





UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20590

# MONTHLY STATEMENT OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and return top portion with your check. Make check payable to Commissioner of Patents & Trademarks.

PENNIE & EDMONDS LLP

FINA

1155 AVENUE OF THE AMERICAS

NEW YORK NY 10036

PLEASE

Patent

P.O. Box

Chicago, IL

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGE CREDIT
Q.	DAY	YR.					
3	10	00	80	09450690	4821-322-999	581	
3	10	00	80	09516745	7853-0191	101	
3	10	00	81	09450691	4821-321-999	581	
3	10	00	81	09516745	7853-0191	103	
3	10	00	99	09207048	8512-349	148	
3	10	00	104	PCT/US99/29194	9598-068-228	801	
3	10	00	104	75934479		361	
3	10	00	105	75934480	3057-236-999	361	
3	10	00	106	75934481	3057-232-999	361	
3	10	00	107	75934482		361	
3	10	00	108	09220602	8265-212	117	
3	10	00	108	75934483	3057-231-999	361	
3	10	00	109	09185535	1455-425	103	
3	10	00	109	75934484	3057-237-999	361	
3	10	00	110	09466725	8932-189	105	
3	10	00	110	75934485	3057-234-999	361	
3	10	00	119	75934494	8676-015-999	361	
3	10	00	127	09053521	8969-007	131	
3	10	00	128	09053521	8969-007	117	
3	10	00	134	09458674	10236-004	581	
3	10	00	135	29113883	6551-1287	581	
3	10	00	136	29113884	6551-1286	581	
3	10	00	148	09453841	9196-0010-99	105	
3	10	00	180	60186950	10132-022-88	114	
3	10	00	188	5145465		184	
3	10	00	193	09028970	A-65546/GSW	102	
3	10	00	194	09028970	A-65546/GSW	115	
3	10	00	200	09421882	REV99-34	581	
3	10	00	201	29112069	REV 99-D-9	581	
3	10	00	209	09497464	9976-012-999	581	
3	10	00	222	08588189	8907-031-999	231	
3	10	00	223	08588189	8907-031-999	131	
3	10	00	223	PCT/US99/28315	7326-066-228	152	
3	10	00	235	09486976	1080-121	970	
3	10	00	236	09486976	1080-121	966	
3	10	00	261	09495449	8265-240	581	

AMOUNT SUFFICIENT TO  
OVER ALL SERVICES REQUESTED

OPENING BALANCE

TOTAL CHARGES

TOTAL C



UNITED STATES PATENT  
Patent and Trademark Office

Address: COMMISSIONER OF PATENT  
Washington, D.C. 20530

# MONTHLY STATEMENT OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and  
return top portion with your check. Make check  
payable to Commissioner of Patents & Trademarks.

PENNIE & EDMONDS LLP

FINA

1155 AVENUE OF THE AMERICAS

NEW YORK NY 10036

PLEASE

Patent

P.O. Box

Chicago, IL

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGE CREDIT
MO.	DAY	YR.					
3	10	00	15	09474587	2988-654	105	
3	10	00	17	09436207	9322-013	205	
3	10	00	18	08997086	8828-0017-99	131	
3	10	00	18	09436207	9322-013	215	
3	10	00	19	08997086	8828-0017-99	117	
3	10	00	32	09162904	06490.0002-0	581	
3	10	00	35	09440804	9284-061-999	581	
3	10	00	40	09038256	174-683	117	
3	10	00	41	09038256	174-683	103	
3	10	00	42	09038256	174-683	102	
3	10	00	42	09066882	7565-043	142	
3	10	00	43	09066882	7565-043	561	
3	10	00	44	75932771	10115-0011-9	361	
3	10	00	46	75932773		361	
3	10	00	48	09297224	8484-063-999	126	
3	10	00	48	75932772	10115-0008-9	361	
3	10	00	49	09063613	1092296-12	126	
3	10	00	50	09185537	9347-004	242	
3	10	00	51	09185537	9347-004	561	
3	10	00	51	75837458	10053-021-20	467	
3	10	00	53	29116655	2499-193-502	567	
3	10	00	54	09261158	2499-141-502	567	
3	10	00	55	60122274	4821-338-502	567	
3	10	00	56	09817397		103	
3	10	00	56	60122277	4821-352-502	567	
3	10	00	57	09817397		104	
3	10	00	57	60122393	4821-345-502	567	
3	10	00	58	60148324	4821-352-502	567	
3	10	00	59	60122394	4821-344-502	567	
3	10	00	60	60122382	4821-332-502	567	
3	10	00	62	09516099	7419-024	101	
3	10	00	67	75588973		481	
3	10	00	68	75588973		482	
3	10	00	73	09445275	7426-0069	156	
3	10	00	75	09516098	9059-024	201	
3	10	00	76	29111978	5801-185	581	

IN AMOUNT SUFFICIENT TO  
OVER ALL SERVICES REQUESTED

OPENING BALANCE

TOTAL CHARGES

TOTAL CREDIT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20230

## MONTHLY STATEMENT OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and return top portion with your check. Make check payable to Commissioner of Patents & Trademarks.

PENNIE & EDMONDS LLP

FINA

1155 AVENUE OF THE AMERICAS

NEW YORK NY 10036

PLEASE SEND TO:  
Patent and Trademark Office  
P.O. Box 100  
Chicago, IL 60601

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGE CREDIT
MO.	DAY	YR.					
3	9	00	157	09157305	9598-028	581	
3	9	00	157	60186094	9842-259-888	114	
3	9	00	158	09149922	9366-006	581	
3	9	00	158	60186093	9842-258-888	114	
3	9	00	159	60186000	9842-257-888	114	
3	9	00	169	60185999	9842-255-888	114	
3	9	00	170	60185998	9842-256-888	114	
3	9	00	179	09435732	8850-0007-99	202	
3	9	00	180	09435732	8850-0007-99	203	
3	9	00	184	08914575	000867-0152	117	
3	9	00	218	09497503	174-785	581	
3	9	00	220	09405692	9342-009-999	581	
3	9	00	221	09405692	9342-009-999	581	
3	9	00	221	09143448		116	
3	9	00	222	09405692	9342-009-999	581	
3	9	00	223	1994645	9726-003	581	
3	9	00	242	09268273	8273-012	242	
3	9	00	243	09268273	8273-012	561	
3	9	00	256	PCT/US00/05475	9739-003-228	150	
3	9	00	257	PCT/US00/05475	9739-003-228	153	
3	9	00	258	PCT/US00/05475	9739-003-228	800	
3	9	00	259	PCT/US00/05475	9739-003-228	801	
3	9	00	260	PCT/US00/05475	9739-003-228	899	
3	9	00	261	PCT/US00/05475	9739-003-228	566	
3	9	00	279	09461421	174-797	581	
3	9	00	280	09447654	9580-014-999	581	
3	9	00	330	09453955	174-697-999	581	
3	10	00	2	017270		580C	
3	10	00	3	08996720	7420-051-999	142	
3	10	00	4	08996720	7420-051-999	561	
3	10	00	5	08930278	3633-445	103	
3	10	00	5	09471521	9366-012-999	201	
3	10	00	6	09471521	9366-012-999	201	
3	10	00	7	518908		574	
3	10	00	8	60186477	9059-030-888	114	
3	10	00	14	09474487	1948-4652	105	

AMOUNT SUFFICIENT TO  
COVER ALL SERVICES REQUESTED

OPENING BALANCE

TOTAL CHARGES

TOTAL CREDIT



UNITED STATES  
Patent and Trademark

Address: COMMISSIONER OF  
Washington, D.C.

# MONTHLY STATEMENT OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and return top portion with your check. Make check payable to Commissioner of Patents & Trademarks.

PENNIE & EDMONDS LLP

FINA

1155 AVENUE OF THE AMERICAS

NEW YORK NY 10036

PLEASE  
F  
P  
C

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE
MO.	DAY	YR.				
3	13	00	438	PCT/US00/05875	5914-066-228	800
3	13	00	439	PCT/US00/05875	5914-066-228	801
3	13	00	440	PCT/US00/05875	5914-066-228	899
3	13	00	441	PCT/US00/05875	5914-066-228	566
3	14	00	1	09443368	9976-011	101
3	14	00	2	09443368	9976-011	105
3	14	00	3	09393652	8449-025-999	205
3	14	00	4	09393652	8449-025-999	218
3	14	00	5	09438754	318-140	105
3	14	00	6	09438754	318-140	115
3	14	00	8	5907053		145
3	14	00	10	FERN BIRTWISTLE		550
3	14	00	14	09233690	9781-00006-9	142
3	14	00	15	09233690	9781-00006-9	561
3	14	00	31	75936580		361
3	14	00	35	ANALIZ MOSCOSO		550
3	14	00	36	ANALIZ MOSCOSO		550
3	14	00	37	ANALIZ MOSCOSO		550
3	14	00	38	ANALIZ MOSCOSO		550
3	14	00	44	08442262	23909-016	142
3	14	00	45	09518455	9804-017-999	101
3	14	00	45	08442262	23909-016	561
3	14	00	46	09518455	9804-017-999	102
3	14	00	49	60177925	9473-013-888	127
3	14	00	51	SUBSCRIPTION		561
3	14	00	79	75501277		363
3	14	00	81	90003101	2861	568
3	14	00	82	90003270	2887	568
3	14	00	85	5554638		283
3	14	00	91	09518659	10184-004	201
3	14	00	92	09518659	10184-004	202
3	14	00	93	09518659	10184-004	203
3	14	00	128	R74640012	8569-004-008	570
3	14	00	197	08859658	8265-113	117
3	14	00	217	PCT/US99/13750	9301-055-228	152
3	14	00	471	PCT/US00/06125	8535-032-228	150

AMOUNT SUFFICIENT TO  
COVER ALL SERVICES REQUESTED  
MUST ALWAYS BE ON DEPOSIT

OPENING BALANCE

TOTAL CHARGES



UNITED STATES  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20503

# MONTHLY STATEMENT OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and return top portion with your check. Make check payable to Commissioner of Patents & Trademarks.

PENNIE & EDMONDS LLP

FINA

1155 AVENUE OF THE AMERICAS

NEW YORK NY 10036

PLEASE  
FILL IN  
FILL IN  
CITY

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE
MO.	DAY	YR.				
3	14	00	472	PCT/US00/06125	8535-032-228	802
3	14	00	473	PCT/US00/06125	8535-032-228	800
3	14	00	474	PCT/US00/06125	8535-032-228	801
3	14	00	475	PCT/US00/06125	8535-032-228	899
3	14	00	476	PCT/US00/06125	8535-032-228	566
3	15	00	1	MOSCOSO ANALIZ		550
3	15	00	1	08837759	8872-003	203
3	15	00	2	MOSCOSO ANALIZ		550
3	15	00	2	09980035	9772-221-999	142
3	15	00	3	09980035	9772-221-999	561
3	15	00	4	08980035	PD25903	142
3	15	00	5	08980035	PD25903	561
3	15	00	6	08081929	7683041	117
3	15	00	7	FERN BIRTWISTLE		550
3	15	00	7	BIRTWISTLE FERN		550
3	15	00	7	08081929	7683041	122
3	15	00	8	FERN BIRTWISTLE		550
3	15	00	9	FERN BIRTWISTLE		550
3	15	00	18	1584331		365
3	15	00	19	75299851	6925-121-999	364
3	15	00	20	75534562	6925183999	364
3	15	00	21	75534563	6925165999	364
3	15	00	22	75534555		364
3	15	00	23	75534612	6925-168-999	364
3	15	00	25	09343498	8932-112	581
3	15	00	31	09520749	8958-019	101
3	15	00	32	09520749	8958-019	103
3	15	00	33	09520749	8958-019	102
3	15	00	37	60187889	10355-003-88	114
3	15	00	44	09520748	9494-010-999	101
3	15	00	52	09518780	8932-199	101
3	15	00	53	09518780	8932-199	104
3	15	00	56	PCT/US00/06124	9792-023-228	150
3	15	00	57	PCT/US00/06124	9792-023-228	153
3	15	00	58	PCT/US00/06124	9792-023-228	800
3	15	00	59	PCT/US00/06124	9792-023-228	801

AN AMOUNT SUFFICIENT TO  
COVER ALL SERVICES REQUESTED  
MUST ALWAYS BE ON DEPOSIT

OPENING BALANCE

TOTAL CHARGES



UNITED STATES DEPARTMENT OF  
Patent and Trademark

Address: COMMISSIONER  
Washington, D.C.

# MONTHLY STATEMENT OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and  
return this portion with your check. Make check  
payable to Commissioner of Patents & Trademarks.

PENNIE & EDMONDS LLP

FINA

1155 AVENUE OF THE AMERICAS

NEW YORK NY 10036

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE
Q.	DAY	YR.				
4	10	00	488	08815556	SLD20351	568
4	10	00	492	09411919	9976-009	581
4	10	00	493	1828892	5760-012	481
4	10	00	494	1828892	5760-012	481
4	10	00	582	09520497	9328-0010-99	581
4	10	00	616	09520152	9792-023	581
4	10	00	619	09519966	9767-0088-99	581
4	11	00	1	08666563	7116-049	126
4	11	00	1	09075770	7444-0032-99	102
4	11	00	1	09377537	5785-043	148
4	11	00	2	08666563	7116-049	117
4	11	00	2	PCT/US00/06124	9792-023-228	566
4	11	00	5	90005694	5965-021	147
4	11	00	6	09107696	8449-052-999	217
4	11	00	6	09032347	8996-007-999	122
4	11	00	7	BIRTWISTLE FERN		550
4	11	00	8	08765244	8484-018-999	203
4	11	00	8	BIRTWISTLE FERN		550
4	11	00	9	BIRTWISTLE FERN		550
4	11	00	22	09521638	9767-0091-99	581
4	11	00	22	09542064	3585-116	101
4	11	00	23	09521639	9775-0031-99	581
4	11	00	23	09542064	3585-116	103
4	11	00	24	08858843	8170-021	569
4	11	00	25	08862821	8170-025	569
4	11	00	27	09001609	6261016599	117
4	11	00	28	09001609	6261016599	103
4	11	00	29	09477270	9584-008-999	105
4	11	00	30	09542520	7969-076-999	101
4	11	00	31	09542520	7969-076-999	102
4	11	00	32	09542520	7969-076-999	103
4	11	00	33	09542520	7969-076-999	104
4	11	00	34	09469360	9301-069-999	205
4	11	00	35	SUBSCRIPTION		561
4	11	00	38	024218		5800
4	11	00	40	09540747	9792-0021	101

OPENING BALANCE

TOTAL CHARGES

AN AMOUNT SUFFICIENT TO

COVER ALL SERVICES REQUESTED

\*\*\*\*\*  
 \*\*\* ACTIVITY REPORT \*\*\*  
 \*\*\*\*\*

ACTY#	MODE		CONNECTION TEL	CONNECTION ID	START
5090	AUTO RX	ECM	816 474 9057	HOVEY WILLIAMS	09/10 11:11
5091	AUTO RX	G3	703 685 0573		09/11 11:11
5092	AUTO RX	ECM			09/13 12:11
5093	MEMORY RX	ECM	6123393061	SCHWEGMAN, LUNDBE	09/14 18:11
5094	AUTO RX	ECM	12486475210		09/19 10:11
5097	AUTO RX	ECM	16106609092		09/19 10:11
5098	AUTO RX	ECM	1 780 459 7837		09/19 10:11
5099	AUTO RX	ECM	304 252 6132		09/20 10:11
5100	MEMORY RX	ECM			09/20 10:11
5101	AUTO RX	G3			09/24 10:11
5102	AUTO RX	ECM	6503262422		09/24 10:11
5103	AUTO RX	ECM	2152244160		09/25 10:11
5104	AUTO RX	ECM	760 321 5368		09/27 09:11
5105	AUTO RX	ECM	760 321 5368		09/27 09:11
5107	AUTO RX	ECM		ANTONELLI, TERRY,	09/27 09:11
5108	AUTO RX	ECM		ANTONELLI, TERRY,	09/27 09:11
5109	AUTO RX	G3			09/27 09:11
5110	AUTO RX	ECM	914 332 0615	PHILIPS ELECTRON	10/02 10:11
5111	AUTO RX	ECM	914 332 0615	PHILIPS ELECTRON	10/02 10:11
5112	AUTO RX	ECM			10/02 10:11
5113	MEMORY RX	ECM	6104070701		10/02 10:11
5114	AUTO RX	ECM			10/02 10:11
5115	AUTO RX	ECM	847 382 6895	VIGIL & ASSC	10/02 10:11
5116	AUTO RX	ECM	+6509529882		10/02 10:11
5117	AUTO RX	ECM	720 566 4099	COOLEY GODWARD L	10/02 10:11
5118	MEMORY RX	ECM	972 477 9328		10/02 10:11
5119	AUTO RX	ECM	972 477 9328		10/02 10:11
5120	TX	ECM	914163611398		10/02 10:11
5122	TX	ECM	914163611398		10/02 10:11
5123	AUTO RX	ECM	+		10/02 10:11
5125	TX	ECM	97039052500		10/02 10:11
5128	TX		192127536237		10/02 10:11
5129	TX	ECM	912127536237	DARBY & DARBY	10/02 10:11
5130	POLLING RX	G3	92024084400		10/02 10:11
5132	TX	ECM	92024084400		10/02 10:11
5130	POLLING RX	G3	92024084400		10/02 10:11
5130	POLLING RX	G3	92024084400		10/02 10:11
5143	AUTO RX	ECM	248 223 9522		10/02 10:11
5144	AUTO RX	ECM	650 493 5556		10/02 10:11
5145	TX	ECM	93018999430		10/02 10:11